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Account Number

## PENNY FOR THE LOT

Customer Instruction and Acknowledgement

You hereby instruct Apex to sell the security(ies) from your account to Apex for one penny for the lot. As a result of this sale, you will have no further claim to the securities, and Apex makes no claims or predictions concerning the future value of the security.

Positions held in physical form (identified as being held in account type 'L' on your statement) require a completed stock power in order to be processed. Please discuss with your broker if you have any questions.

Please check with your tax advisor to confirm that this course of action will produce no unintended results on your tax return. Please fill out the information below and send to your broker.

Number of Shares	Security Description	Symbol / CUSIP

The undersigned hereby agree(s) to sell to Apex, and request(s) that Apex purchase, the securities described above in the amounts stated for a purchase price of one penny (\$0.01). The undersigned represent(s) that he/she is the owner of the securities described above in the amounts stated.

The undersigned further agrees to hold Apex harmless from and against any and all claims, demands, causes of action, obligations and liabilities of any kind which arise out of, or are in any manner whatsoever connected with or related to this sale.

\_\_\_\_\_  
Shareholder Name                      Shareholder Signature                      Date

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Joint Shareholder Name                      Joint Shareholder Signature                      Date