

JOURNAL REQUEST

Transfer of Cash and/or Securities between TradeKing Securities Accounts of same owners

No transfers between accounts of different owners are permitted. Individual to/from Joint account transfers must be notarized. To transfer a margin balance from an individual to a joint account, both accounts holders signatures must be notarized.

If making an IRA contribution, please do not use this form. Use instead the [IRA Deposit Slip](#) also available on the Forms Section of the TradeKing Classic website.

Choose one:

- 1) **Full account - All cash and securities; or**
 2) **Cash:** \$ _____ and / or **Securities:**

# of Shares /	Description of Securities (no dollar amounts or percentages)
/	/
/	/
/	/
/	/

From my TradeKing Securities account _____ **to** TradeKing Securities account _____

For Benefit Of: _____
 (Name as it appears on the receiving TradeKing Account)

 (Signature) (Date)

 (Print Name)

 Joint Owner (Signature) if applicable (Date)

 (Print Name)

Any loss of ownership requires Notarization.

Notary please use space below

Next Steps:

1. If this journal request is to transfer assets between accounts of the same owner you may fax completed form to 866-699-0563, upload it to your account using the "Upload a Form" tool accessible via the Client Services tab, or email it to service@tradeking.com
2. If this journal request involves partial loss of ownership such as a journal from an individual to a joint account or vice versa, the signatures on this form need to be notarized and TradeKing will need to receive the original at TRADEKING SECURITIES 11605 N. Community House Rd. Calhoun Bldg. Third Floor – Charlotte, NC 28277. No fax copy will be accepted.