



PO BOX 49050 • Charlotte NC • 28277  
Phone 877-495-5464 • Fax 866-699-0563  
TradeKing.com • MEMBER FINRA/SIPC

## ACH / MONEY LINK SET-UP FORM

**Return completed form to TradeKing Securities, not to Apex Clearing Corp, our clearing firm**

Important instructions to set up your electronic money link between your bank account and TradeKing Securities:

Attach a copy of your **drivers license** or other form of Government issued photo identification AND a copy of a voided check for the bank account submitted (please specify type of account checking/savings on the form). A Government issued photo ID is required for both individuals on a Joint account.

Individual TradeKing Securities accounts can be linked only to Individual Bank accounts in the same name. Joint TradeKing Securities accounts can be linked only to Joint Bank accounts in the same name (example: **John Smith and Jane Doe Joint** TradeKing account MUST be linked to **John Smith and Jane Doe Joint** bank account at U.S. Bank).

**IRA accounts can be set up only with periodic deposits and distributions.**

*To cancel your scheduled (periodic) deposits or withdrawals, please send us a letter of authorization requesting the cancellation within 5 days before your next scheduled transfer.*

You can send us this form and its attachments by:

- **Scan and email documents to: [service@tradeking.com](mailto:service@tradeking.com)**  
We recommend that you encrypt and password-protect any document that includes personal information before sending it via email. You can let us know the file password by calling us at 877-495-5464, by sending us a separate email, or by contacting us via Live Chat. We do not accept ZIP files. If you need more information on how and why to encrypt a document, please call us.
- Fax: 866-699-0563  
Please note that if the faxed document is not legible, it will not be processed.
- Overnight Delivery:  
TradeKing Securities  
11605 N. Community House Rd. Calhoun Bldg. Third Floor  
Charlotte, NC 28277
- Regular mail to:  
TradeKing Securities  
P.O. Box 49050  
Charlotte, NC 28277-3432

**Do NOT mail this form to Apex Clearing Corp, our clearing firm.**

The TradeKing Securities Customer Agreement contains the terms and conditions applicable to all TradeKing Securities accounts. Please read it carefully, print a copy and retain it for your records. You can obtain a copy at <http://content.tradeking.com/wiki/display/kservice/Disclosures>, by calling (877) 495-KING, or from TradeKing, P.O. Box 49050, Charlotte, NC 28277.



Name On Account: \_\_\_\_\_

Account Number: \_\_\_\_\_

**AUTHORIZATION FOR ACH TRANSFER**  
**(AUTOMATED CLEARING HOUSE)**

To: Apex Clearing Corp.  
Attn: Banking Department  
350 N. St. Paul  
Suite 1300  
Dallas, Texas 75201

\_\_\_\_ New ACH Authorization

\_\_\_\_ Change of Existing Authorization

**I/We authorize Apex Clearing Corp. ("Apex") to transfer funds via ACH between my securities account and my bank account as follows:** (In the event an entry is incorrect, Apex reserves the right to make correcting entries.)

**Receiving Bank Account is a:**

**Bank Account Information:**

\_\_\_\_\_ Checking (attach voided check)

Bank Account Name: \_\_\_\_\_

\_\_\_\_\_ Savings (attached savings deposit slip)

Bank Account Number: \_\_\_\_\_

Bank Routing Number: \_\_\_\_\_

**Type of Transfer:**

\_\_\_\_\_ On Demand

\_\_\_\_\_ Dividends & Interest Only

\_\_\_\_\_ Recurring (specify below)

Amount: \$ \_\_\_\_\_ (must be the same amt. each time)

Frequency: \_\_\_\_\_  
(Daily/weekly/monthly/quarterly/annually)

Start date: \_\_\_\_\_  
(MM/DD/YYYY)

End date: \_\_\_\_\_  
(If until further notice please leave blank)

Attached is a voided check or a deposit slip (for savings accounts ONLY) that will provide the necessary bank routing information. I understand that if my payment order or funds transfer is sent or received through an ACH system I am subject to all applicable rules of such clearing house and any applicable rules set forth in Federal Reserve Operating circulars. I understand that any ACH I receive through ACH is provisional. If final payment is not received by the beneficiary bank for a payment order transferred through ACH, the beneficiary bank is entitled to recover from the beneficiary any provisional credit given and Apex may charge the customer's account for the amounts so credited.

I understand that the ACH activation may take 3 business days from the date of receipt of these instructions. I understand that recurring transfers, if applicable, will occur no later than the next business day, assuming funds availability.

I understand that funds must be readily available in my securities account or there is a possibility the ACH will be delayed or bounced. Additionally, Apex may or may not notify me of returned or rejected ACH transfers.

I agree to hold Apex and their agents free of liability for their compliance with these instructions. This authorization shall remain in full force and effect until instructions to terminate or alter are received in writing by Apex Clearing Corp.

\_\_\_\_\_  
Account Owner Signature Dated

\_\_\_\_\_  
Joint Owner Signature Dated

**Attach voided check or deposit slip here**