



PO BOX 49050 • Charlotte NC • 28277
 Phone 877-495-5464 • Fax 866-699-0563
 TradeKing.com • MEMBER FINRA/SIPC

Account Transfer Fee Reimbursement Request

Please return this form along with a copy of your account statement from your previous firm showing the transfer fees charged. You may fax, email or mail the request.

Name as it appears on Account	
Email Address	TradeKing Securities Account Number
Name of Previous Firm	Amount of Transfer Fees

Signature: _____

Date: _____

Signature: _____

Date: _____

Office Use Only :

TradeKing Securities Approval:

Principal Signature	Date
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TradeKing Securities will credit your account transfer fees up to \$150 charged by another brokerage firm when completing an account transfer for \$2,500 or more. Credit will be deposited to your account within 30 days of receipt of evidence of charge. TradeKing reserves the right at our sole discretion to modify or terminate this offer without prior notice. Pulling off the switch is easy. Here's how: [Open a New Account](#) or if you already have an account, [log in](#). Complete and print an Account Transfer Form. Mail or fax the signed [Account Transfer Form](#) to TradeKing along with a copy of your most recent statement from your previous broker. Request your account transfer reimbursement by faxing your transfer reimbursement form, along with proof of transfer charge, to 866.699.0563. This offer cannot be combined with any other reimbursement offers including our offer to credit fees for initial funding by wire or overnight mail. **This offer does not apply to Termination Fees or Maintenance fees.** Customers should consider the differences in services and transfer fees before switching their brokerage accounts.

Attach an account statement providing proof of the transfer fees charged