

# JOURNAL REQUEST

Transfer of Cash and/or Securities between TradeKing Accounts of same owners

**No transfers between accounts of different owners are permitted.** Individual to/from Joint account transfers must be notarized  
To transfer a margin balance from an individual to a joint account, both accounts holders signatures must be notarized

**Please Journal:**

**Cash:** \$ \_\_\_\_\_ and / or **Securities:**

# of Shares /	Description of Securities (no dollar amounts or percentages)
/	/
/	/
/	/
/	/

**from** my TradeKing account \_\_\_\_\_ **to** TradeKing account \_\_\_\_\_

**FBO:** \_\_\_\_\_  
(Name as it appears on the receiving TradeKing Account)

If transferring to an IRA account, please check one of the following:

- Current Year Contribution
- Prior Year Contribution
- 60 Day Rollover (must include IRA Rollover Certification)

\_\_\_\_\_  
(Signature) (Date)

\_\_\_\_\_  
(Print Name)

\_\_\_\_\_  
Joint Owner (Signature) if applicable (Date)

\_\_\_\_\_  
(Print Name)

**Any loss of ownership requires Notarization.**  
**Notary please use space below**

**Next Steps:**

1. If this journal request is to transfer assets between accounts of the same owner please fax completed form to (561) 988-0131 or email it to service@tradeking.com
2. If this journal request involves partial loss of ownership such as a journal from an individual to a joint account or vice versa, the signatures on this form need to be notarized and TradeKing will need to receive the original. No fax copy will be accepted.