

ACH / MONEY LINK SET-UP FORM

Important instructions to set up your electronic money link between your bank account and TradeKing:

Attach a copy of your **drivers license** or other form of Government issued photo identification AND a copy of a voided check for the bank account submitted (please specify type of account checking/savings on the form). A Government issued photo ID is required for both individuals on a Joint account.

Individual TradeKing accounts can be linked only to Individual Bank accounts in the same name. Joint TradeKing accounts can be linked only to Joint Bank accounts in the same name (example: **John Smith and Jane Doe Joint** TradeKing account MUST be linked to **John Smith and Jane Doe Joint** bank account at US Bank).

IRA accounts can be set up only with periodic deposits and distributions.

To cancel your scheduled (periodic) deposits or withdrawals, please send us a letter of authorization requesting the cancellation within 5 days before your next scheduled transfer.

You can send us this form and its attachments by:

- **Scan and email documents to: service@tradeking.com**
We recommend that you encrypt and password-protect any document that includes personal information before sending it via email. You can let us know the file password by calling us at 877-495-5464, by sending us a separate email, or by contacting us via Live Chat. We accept ZIP files. If you need more information on how and why to encrypt a document, please call us.
- Fax: 866-699-0563
Please note that if the faxed document is not legible, it will not be processed.
- Overnight Delivery:
TradeKing
13024 Ballantyne Corporate Place, Suite 500
Charlotte, NC 28277
- Regular mail to:
TradeKing
P.O. Box 49050
Charlotte, NC 28277-3432

Do NOT mail this form to Penson, our clearing firm.

The TradeKing Customer Agreement contains the terms and conditions applicable to all TradeKing accounts. Please read it carefully, print a copy and retain it for your records. You can obtain a copy at <http://content.tradeking.com/wiki/display/tkservice/Disclosures>, by calling (877) 495-KING, or from TradeKing, P.O. Box 49050, Charlotte, NC 28277.

Account # _____

**AUTHORIZATION FOR ELECTRONIC TRANSFER
 (AUTOMATED CLEARING HOUSE)**

To: TradeKing
 PO Box 49050
 Charlotte, NC 28277

___ New ACH Authorization
 ___ Change of Existing Authorization
 Please note, may only link one bank account at any given time

I/We authorize Penson Financial Services, Inc., (“Penson”) to transfer funds via ACH from my securities account to my bank account as follows: (In the event an entry is incorrect, Penson reserves the right to submit correcting entries.)

The Banking Department must be notified of any changes to the money market account, i.e. switching of money market funds or closure.

The receiving bank account is (choose one)

- ___ Checking (attach voided check)
- ___ Savings (attach savings deposit slip)

Bank Account Information

Bank Name: _____
 Bank Account Number: _____
 Bank Routing Number: _____

The type of transfer requested is (choose one)

- ___ On Demand
- ___ Dividends and Interest only
- ___ Recurring (specify on right)

Recurring Options

___ Withdraw from TradeKing Account
 ___ Deposit into TradeKing Account
 Amount: \$_____ (must be the same amount)
 Frequency: _____
 (daily, weekly, monthly, quarterly, annually)
 Start Date: _____ (MM/DD/YYYY)

Please send a written request to cancel reoccurring transfers

Attached is a voided check or savings deposit slip that will provide all necessary bank routing information. I understand that the ACH activation may take approximately 3 business days from the date of receipt of these instructions. I understand that recurring transfers, if applicable, will occur no later than the next business day, assuming funds availability. **Please be advised that funds must be readily available in the cash account or money market fund or there is a possibility the ACH will be delayed or bounced.** No notification will be sent for returned ACH's. I agree to hold Penson and their agents free of liability for their compliance with these instructions.

I understand that if my payment order or funds transfer is sent or received through an ACH system I am subject to all applicable rules of such clearing house and any applicable rules set forth in Federal Reserve Operating circulars. I understand that any ACH I receive through ACH is provisional. If final payment is not received by the beneficiary bank for a payment order transferred through ACH, the beneficiary bank is entitled to recover from the beneficiary any provisional credit given and Penson may charge the customer's account for the amounts so credited.

This authorization shall remain in full force and effect until instructions to terminate or alter are received in writing by Penson Financial Services, Inc.

 Account Owner Signature

_____/_____/_____
 Date

 Joint Account Owner Signature, if applicable

_____/_____/_____
 Date

Attach Copy of Voided Check or Savings Deposit Slip and ID